#4

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# RAW SEQUENCE LISTING PATENT APPLICATION US/08/199,070

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INPUT SET: S2358.raw

This Raw Listing contains the General Information Section and up to the first 5 pages.

| 1                                |   |
|----------------------------------|---|
| 2<br>3                           | (1) General Information:  |
| 4<br>5                           |   |
| 6<br>7                           | (i) APPLICANT: MARASCO, WAYNE A<br>CHEN, SI-YI  |
| 8<br>9<br>10                     | (ii) TITLE OF INVENTION: NUCLEIC ACID DELIVERY SYSTEM, METHOD OF SYNTHESIS AND USES THEREOF   |
| 11<br>12                         | (iii) NUMBER OF SEQUENCES: 9  |
| 13<br>14<br>15<br>16             | (iv) CORRESPONDENCE ADDRESS:  (A) ADDRESSEE: DAVID G. CONLIN; DIKE, BRONSTEIN, ROBERTS &  CUSHMAN  (B) STREET: 130 WATER STREET   |
| 17<br>18<br>19<br>20<br>21       | (C) CITY: BOSTON (D) STATE: MASSACHUSETTS (E) COUNTRY: US (F) ZIP: 02109  |
| 22<br>23<br>24<br>25<br>26<br>27 | <ul> <li>(v) COMPUTER READABLE FORM:</li> <li>(A) MEDIUM TYPE: Floppy disk</li> <li>(B) COMPUTER: IBM PC compatible</li> <li>(C) OPERATING SYSTEM: PC-DOS/MS-DOS</li> <li>(D) SOFTWARE: PatentIn Release #1.0, Version #1.25</li> </ul> |
| 28<br>29<br>30<br>31<br>32       | <ul> <li>(vi) CURRENT APPLICATION DATA:</li> <li>(A) APPLICATION NUMBER: US 08/199,070</li> <li>(B) FILING DATE: 02-FEB-1994</li> <li>(C) CLASSIFICATION:</li> </ul>  |
| 33<br>34<br>35<br>36<br>37       | <pre>(viii) ATTORNEY/AGENT INFORMATION:     (A) NAME: CONLIN, DAVID G     (B) REGISTRATION NUMBER: 27026     (C) REFERENCE/DOCKET NUMBER: 43471</pre>   |
| 38<br>39<br>40<br>41<br>42<br>43 | (ix) TELECOMMUNICATION INFORMATION:  (A) TELEPHONE: (617) 523-3400  (B) TELEFAX: (617) 523-6440  (C) TELEX: 200291 STRE UR  |
| 44                               | (2) INFORMATION FOR SEQ ID NO:1:  |
| 45<br>46                         | (i) SEQUENCE CHARACTERISTICS:   |
|                                  |   |

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| 4<br>4   |  | INPUT SET: S2358.raw |
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| 5<br>5<br>5<br>5<br>5  | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:  Lys Lys Tyr Tyr Lys Leu Lys 1   |                      |
| 59<br>60<br>61<br>62<br>63<br>64<br>65<br>66                                     | (2) INFORMATION FOR SEQ ID NO:2:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown   |                      |
| 68<br>69<br>70<br>71<br>72<br>73<br>74<br>75<br>76<br>77<br>78<br>79             | (xi) SEQUENCE DESCRIPTION, SEC ID NO.2.  | 36                   |
| 81<br>82<br>83<br>84<br>85<br>86<br>87<br>88<br>90<br>91<br>92<br>93<br>94<br>95 | <pre>(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:  GGTACCGAAT TCTCTAGAAC AAGATTTGGG CTC  (2) INFORMATION FOR SEQ ID NO:4:      (i) SEQUENCE CHARACTERISTICS:           (A) LENGTH: 36 base pairs           (B) TYPE: nucleic acid           (C) STRANDEDNESS: unknown           (D) TOPOLOGY: unknown</pre> | 33                   |
| 96<br>97<br>98<br>99   | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4: GGTACCGAAT TCTCTAGAAT GGCCAGGTAC AGATGC  | 36                   |

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| 10  | INPU  | UT SET: S2358.raw |
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| 10  |   | 2200011477        |
| 10: | 2   |                   |
| 103 | 3 (i) SEQUENCE CHARACTERISTICS:                             |                   |
| 104 | 4 (Δ) LENGTH. 40 bears                                      |                   |
| 109 | (A) LENGTH: 48 base pairs (B) TYPE: nucleic acid            |                   |
| 106 | 6 (C) STRANDEDANCE  |                   |
| 107 | (C) STRANDEDNESS: unknown                                   |                   |
| 108 | (D) IOPOLOGY: Unknown                                       |                   |
| 109 |   |                   |
| 110 |   |                   |
| 111 |   |                   |
| 112 | ATT DESCRIPTION SECTION TO MOLE                             |                   |
| 113 |   |                   |
| 114 | TAACAACAC CTCATGGCTC TCCTCCTCT CTCCCCTCT                    | 48                |
| 115 |   | 40                |
| 116 | (=) IN OIGHTION FOR SEO II) NO.6.                           |                   |
| 117 |   |                   |
| 118 | (1) SEQUENCE CHARACTERISTICS:                               |                   |
| 119 | (A) DENGIH: /8 Dase pairs                                   |                   |
| 120 | (b) life: nucleic acid                                      |                   |
| 121 | (C) SIRANDEDNESS: UNKNOWN                                   |                   |
| 122 | (D) TOPOLOGY: unknown                                       |                   |
| 123 |   |                   |
| 124 |   |                   |
| 125 | (wi) CROTTONE   |                   |
| 126 | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:                     |                   |
| 127 | TTA ATTCCCC CCCCTTT CTC - W                                 |                   |
| 128 | TTAATTGCGG CCGCTTAGTG TCTTCTACAT CTCGGTCTGT ACCTGGGGCT GACA | CCTCAT 60         |
| 129 | GGCTCTCCTC CGTGTCTG   | 00                |
| 130 | and a contract condition                                    | 78                |
| 131 | (2) INFORMATION FOR SEQ ID NO:7:                            | . •               |
| 132 | TOR SEQ ID NO: /:   |                   |
| 133 | (i) SEQUENCE CHARACTERISTICS:                               |                   |
| 134 | (A) LENGTH: 33 base pairs                                   |                   |
| 135 | (B) TYPE: nucleic acid                                      | •                 |
| 136 | (C) STRANDEDNESS: unknown                                   |                   |
| 137 | (D) TOPOLOGY: unknown                                       |                   |
| 138 | (= ) = 0102001. UIIRIIOWII                                  |                   |
| 139 |   |                   |
| 140 |   |                   |
| 141 | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:                     |                   |
| 142 | DESCRIPTION: SEQ ID NO: 7:                                  |                   |
| 143 | TTTAAGCTTA TGGGCGACGT CAGCTTCAGC ACC                        |                   |
| 144 |   | 33                |
| 145 | (2) INFORMATION FOR SEQ ID NO:8:                            |                   |
| 146 |   |                   |
| 147 | (i) SEQUENCE CHARACTERISTICS:                               |                   |
| 148 | (A) LENGTH: 27 base pairs                                   |                   |
| 149 | (B) TYPE: nucleic acid                                      |                   |
| 150 | (C) STRANDEDNESS: unknown                                   |                   |
| 151 | (D) TOPOLOGY: unknown                                       |                   |
| 152 |   |                   |
|     |   |                   |

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| 153  |  | INPUT SET: S2358.raw |
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| 154<br>155<br>156                                    | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:  |                      |
| 157<br>158   | TTTTCTAGAT TACTTCAGGT CCTCCGG  | 27                   |
| 159<br>160   | (2) INFORMATION FOR SEQ ID NO:9:   |                      |
| 161<br>162<br>163<br>164<br>165<br>166<br>167<br>168 | <ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 30 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: unknown</li> <li>(D) TOPOLOGY: unknown</li> </ul> |                      |
| 169<br>170   | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:  |                      |
| 171<br>172   | TTTAAGCTTA TGGCCGACGT GGTGAGCCTG   | 30                   |

### SEQUENCE VERIFICATION REPORT PATENT APPLICATION US/08/199,070

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